

**PRIFYSGOL CYMRU; UNIVERSITY OF WALES**

**DEGREE EXAMINATIONS MAY/JUNE 2003**

**SWANSEA**

**Computer Science**

**CS 342 Constraint Satisfaction Problems and Applications**  
**External candidates**

**Attempt 2 questions out of 3**

**Time allowed: 2 hours**

**Students are permitted to use the dictionaries provided by the University**

**Students are NOT permitted to use calculators**

**CS\_342 2002/03 External Candidates**  
**Constraint Satisfaction Problems and Applications**

*(Attempt 2 questions out of 3)*

**Question 1** Autarkies, Unit propagation and 2-CNF

(a) Autarkies and their basic properties

- (i) Explain in words what an autarky is.
- (ii) Define formally  $\text{Auk}(F)$ , the set of autarkies for a clause-set  $F$ , using a different formulation for autarkies than in part (i).
- (iii) State the three main properties of autarkies (their relation to satisfying assignments, their “harmlessness” and about composition).

**[6 marks]**

(b) Unit-clause propagation

- (i) Explain in words how  $r_1 : \mathcal{CLS} \rightarrow \mathcal{CLS}$  is defined.
- (ii) Why is it guaranteed that computation of  $r_1(F)$  terminates?
- (iii) Why is  $r_1(F)$  independent of the choices of eliminated unit clauses in the course of the computation?
- (iv) What are the three essential properties of  $r_1$  which guarantee that using  $r_1$  is not too expensive, is “harmless”, and can be explained by partial assignments?

**[11 marks]**

(c) Binary clause-sets

- (i) How is  $2\text{-}\mathcal{CLS}$  defined?
- (ii) Consider  $F \in 2\text{-}\mathcal{CLS}$ , a variable  $v$  and  $\varepsilon \in \{0, 1\}$ . Argue that all clauses in  $r_1(\langle v \rightarrow \varepsilon \rangle * F)$  have the same length. (Hint: Make a case distinction, whether a contradiction was found or not.)
- (iii) Give an algorithm in pseudo-code for deciding satisfiability of clause-set  $F \in 2\text{-}\mathcal{CLS}$  in polynomial time. Explain how it works, and why the running time is polynomially bounded.

**[8 marks]**

## Question 2 Clause-sets and DLL-like algorithms

### (a) Clause-sets and partial assignments

- (i) Define variables ( $\mathcal{VA}$ ), literals ( $\mathcal{LIT}$ ), clauses ( $\mathcal{CL}$ ) and clause-sets ( $\mathcal{CLS}$ ), and explain the meaning of these concepts.
- (ii) Why is it justified that the order of literals in a clause does not matter, and that literals can not be repeated? And why is it justified that the order of clauses in a clause-set does not matter, and that clauses can not be repeated?
- (iii) Define partial assignments ( $\mathcal{PASS}$ ), and explain the operation  $*$  :  $\mathcal{PASS} \times \mathcal{CLS} \rightarrow \mathcal{CLS}$  of partial assignments on clause-sets; that is, explain how  $\varphi * F$  is computed from  $F$ .
- (iv) Define the set  $\mathcal{SAT}$  of satisfiable clause-sets and the set  $\mathcal{USAT}$  of unsatisfiable clause-sets. Let  $F := \{ \{v_1\}, \{\overline{v_1}, v_2\}, \{\overline{v_1}, \overline{v_2}, v_3\}, \{\overline{v_1}, \overline{v_2}, \overline{v_3}, v_4\}, \{\overline{v_1}, \overline{v_2}, \overline{v_3}, \overline{v_4}\} \}$ . Decide whether  $F$  is satisfiable or not, and prove your answer.
- (v) State the basic properties of the composition of partial assignments and the operation of partial assignments on clause-sets.

[11 marks]

### (b) DLL-like SAT algorithms

- (i) Give pseudo-code for the basic DLL-like SAT algorithm.
- (ii) Explain the different choices made in this algorithm scheme, and what constitutes a “good choice”.

[7 marks]

### (c) Datastructures and efficiency

- (i) How could variables, literals, clauses, clause-sets and partial assignments be implemented? What are more efficient and what are less efficient choices?
- (ii) Explain the difference between “value semantics” and “reference semantics” and their advantages and disadvantages w.r.t. possible implementations of clause-sets.

[7 marks]

### Question 3 Random formulas and phase transitions

(a) Applications of random formulas

- (i) When testing a SAT solver, one needs benchmark formulas. Discuss the three categories of benchmark formulas, and their advantages and disadvantages.
- (ii) Explain (briefly) the difference between “random” and “pseudo-random” formulas (or clause-sets).

[6 marks]

(b) The variable clause-length model and the constant clause-length model for random clause-sets

- (i) What parameters are used in the variable clause-length model, and what are their meanings?
- (ii) What parameters are used in the constant clause-length model, and what are their meanings?
- (iii) Define  $\mathcal{CLS}(n, p, c)$  and the probabilities  $P_0(n, p, c)$ ,  $P_1(n, p, c)$  for unsatisfiability ( $P_0$ ) resp. satisfiability ( $P_1$ ).

[4 marks]

(c) The notion of density

- (i) Given a clause-set  $F$ , how are the relative densities  $\rho_k(F)$  defined?
- (ii) What is the density of formulas in  $\mathcal{CLS}(n, p, c)$  ?
- (iii) What can be said about  $P_0$  and  $P_1$  for clause-sets in  $\mathcal{CLS}(n, p, c)$  with “low” resp. “high” density, and what is the (intuitive) reason for this behaviour? What do we know about the meaning of “low density” and “high density” here? (Do we know more, for example, for  $p = 3$ ?)

[5 marks]

(d) The Threshold Phenomenon

- (i) Show how a typical graph for  $P_0$ , when fixing  $n$  and choosing  $p = 3$ , looks like when varying the density. (Do not forget to label the  $x$ -axis and the  $y$ -axis.)
- (ii) Explain the Threshold Conjecture in words.
- (iii) State the Threshold Conjecture in a formal way.
- (iv) Show a typical graph for running times of DLL-like algorithms for  $p = 3$  in the constant clause-length model, when varying the density, and try to explain (intuitively) this graph.

[10 marks]