

**PRIFYSGOL CYMRU; UNIVERSITY OF WALES**

**DEGREE EXAMINATIONS MAY/JUNE 2003**

**SWANSEA**

**Computer Science**

**CS 342 Constraint Satisfaction Problems and Applications**

**Attempt 2 questions out of 3**

**Time allowed: 2 hours**

**Students are permitted to use the dictionaries provided by the University**

**Students are NOT permitted to use calculators**

**CS\_342 2002/03**  
**Constraint Satisfaction Problems and Applications**

*(Attempt 2 questions out of 3)*

**Question 1** Clause-sets and partial assignments

(a) Basic notions

- (i) Define variables ( $\mathcal{VA}$ ), literals ( $\mathcal{LIT}$ ), clauses ( $\mathcal{CL}$ ) and clause-sets ( $\mathcal{CLS}$ ), and explain the meaning of these concepts.
- (ii) Define partial assignments ( $\mathcal{PASS}$ ), and explain the operation  $*$  :  $\mathcal{PASS} \times \mathcal{CLS} \rightarrow \mathcal{CLS}$  of partial assignments on clause-sets; that is, explain how  $\varphi * F$  is computed from  $F$ .
- (iii) Compute  $\langle a \rightarrow 0, b \rightarrow 1 \rangle * \{ \{ \bar{a}, \bar{b}, c \}, \{ a, b, \bar{c} \}, \{ a, d \}, \{ \bar{c}, \bar{d} \} \}$ .
- (iv) Explain the composition  $\varphi \circ \psi$  of partial assignments.
- (v) Compute  $\langle a \rightarrow 0, b \rightarrow 1, c \rightarrow 1 \rangle \circ \langle a \rightarrow 0, b \rightarrow 0, d \rightarrow 1 \rangle$ .
- (vi) State the basic properties of the composition of partial assignments and the operation of partial assignments on clause-sets.
- (vii) Given a clause  $C$ , what is the corresponding partial assignment  $\varphi_C$ , and given a partial assignment  $\varphi$ , what is the corresponding clause  $C_\varphi$ ?

**[12 marks]**

(b) Satisfiability and unsatisfiability

- (i) Define the set  $\mathcal{SAT}$  of satisfiable clause-sets and the set  $\mathcal{USAT}$  of unsatisfiable clause-sets.
- (ii) Let  $F := \{ \{ \bar{v}_1, v_2 \}, \{ \bar{v}_2, v_3 \}, \{ \bar{v}_3, v_4 \}, \{ \bar{v}_4, v_1 \}, \{ v_1, v_2, v_3, v_4 \}, \{ \bar{v}_1, \bar{v}_2, \bar{v}_3, \bar{v}_4 \} \}$ . Decide whether  $F$  is satisfiable or not, and prove your answer.
- (iii) Prove that if a clause-set  $F$  is satisfiable, then also every sub-clause-set  $F' \subseteq F$  is satisfiable.

**[6 marks]**

(c) Full clause-sets

- (i) Define the notion of a “full clause-set”.
- (ii) Give a (good) upper bound on  $c(F)$  for full clause-sets  $F$  in terms of  $n(F)$ .
- (iii) Describe a fast decision procedure for the satisfiability problem on full clause-sets, and prove the correctness of your algorithm.

**[7 marks]**

## Question 2 Autarkies and 2-CNF

### (a) Autarkies and their basic properties

- (i) Explain in words what an *autarky* is.
- (ii) Define formally  $\text{Aut}(F)$ , the set of autarkies for a clause-set  $F$ , using a different formulation for autarkies than in part (i).
- (iii) State the three main properties of autarkies (their relation to satisfying assignments, their “harmlessness” and about composition), and give reasons, why these properties hold true (if possible, prove your assertions).
- (iv) Call an autarky  $\varphi$  for  $F$  *non-trivial* iff  $\varphi$  satisfies at least one clause from  $F$ . How is it possible to decide whether  $F$  has a non-trivial autarky?! Develop some algorithmic ideas (try to relate some time bounds to these ideas).

[13 marks]

### (b) Binary clause-sets

- (i) How is  $2\text{-}\mathcal{CLS}$  defined?
- (ii) Consider  $F \in 2\text{-}\mathcal{CLS}$ . Show that all clauses in  $r_1(F)$  have the same length. (Hint: Make a case distinction, whether a contradiction was found or not.)
- (iii) Show that in case of  $r_1(\langle v \rightarrow \varepsilon \rangle * F) \neq \{\perp\}$  for some variable  $v$  and  $\varepsilon \in \{0, 1\}$  the clause-set  $r_1(\langle v \rightarrow \varepsilon \rangle * F)$  is satisfiability equivalent to  $F \in 2\text{-}\mathcal{CLS}$ .
- (iv) Give an algorithm in pseudo-code for deciding satisfiability of clause-set  $F \in 2\text{-}\mathcal{CLS}$  in polynomial time. Explain how it works, and why the running time is polynomially bounded.

[12 marks]

**Question 3** Unit Propagation and Horn Formulas

(a) Unit-clause propagation

- (i) State the definition of  $r_1 : \mathcal{CLS} \rightarrow \mathcal{CLS}$ , and also explain the definition in words.
- (ii) Explain why the computation of  $r_1(F)$  always terminates.
- (iii) Explain why  $r_1(F)$  is independent of the choices made in the course of the computation.
- (iv) Sketch a proof of the fact that  $r_1(F)$  and  $F$  are satisfiability equivalent.
- (v) Sketch a proof of the fact that for every clause-set  $F$  there exists a partial assignment  $\varphi$  with  $r_1(F) = \varphi * F$ .

[11 marks]

(b) Fast implementation

- (i) State the run time and space complexity of the naive implementation of unit propagation.
- (ii) What are the main ideas for implementing a linear time algorithm for unit propagation?
- (iii) The linear time algorithm for unit propagation consists of a double nested loop (running through all unit clauses found, and applying them to the formula). How is it that the running time in fact is linear and not quadratic?

[8 marks]

(c) Horn clause-sets

- (i) Define a Horn clause-set.
- (ii) Prove that if a Horn clause-set  $F$  does not contain the empty clause or a unit clause, then  $F$  must be satisfiable.
- (iii) Show how to decide satisfiability of Horn clause-sets in linear time.

[6 marks]